

Appendices

Caterpillar Inc.

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APPENDIX - Additional Charge Codes

560 SPECIAL SERVICES CODE

This code specifies the type of additional charge.

ABBR.	DESCRIPTION
----	-----
A0010	ALTERATION
A0020	HEAT TREAT/ANNEALING
A0030	ART WORK
B0030	DUTY/BROKERAGE
B0040	BURNING
CG	CONTAINER CLEANING
CI	CUTTING
CZ	PRESSURIZING
C0012	CAPPING
C0032	COATING
C0036	CERTIFICATION
C0050	COMPETITIVE ALLOWANCE
C0070	CRATING
C9001	CONFIGURATION & TESTING
DM	DEMURRAGE
D0020	INCOMING FREIGHT
D0022	DEPOSIT
D0024	LAYOUT/DESIGN
D1	DISCOUNT/ALLOWANCE
D9001	DOCUMENTATION
EC	ENGINEERING
E0024	ESCALATION
E9001	EXPORT CHARGE
FE	RETURNABLE DRUM
FG	RETURNABLE PALLET
FL	RETURNABLE CYLINDER
F0010	FET (%)
F0011	FET (\$)
F0030	FREIGHT
G0010	GRINDING
HC	HANDLING
IO012	INSTALLATION
I0022	IDENTIFICATION
I9002	IMPREGNATION
L0010	LABOR
L0020	LICENSE FEE
M0030	MINIMUM CHARGE

M0040	MONTHLY RENT
M0042	MOUNTING
M9001	MATERIAL ONLY
M9002	MACHINING
M9003	MATERIAL ALLOWANCE
N0020	NONRETURNABLE CONTAINERS
N9001	NONRETURNABLE DRUM
N9002	NONRETURNABLE PALLET
O0010	ONE TIME ONLY CHARGE
O9001	OZONE DEPLETION TAX
PC	PACKAGING
PL	PALLETIZING
P0012	PAINTING
P0014	PHOSPHATIZING
P0016	PICKLE AND OIL
P0018	PLATING
P0020	PREMIUM CHARGE
P0022	PREPARATION
P9001	PART/ECHSEL
P9002	PRE-MACHINING
Q9001	QUALIFYING
R0072	REPAIR
R0076	RETURNABLE CONTAINER
R0100	ROYALTY
R0110	REWORK
R0112	ROLL REBATE
R9001	RETAINER
SC	SURCHARGE (\$)
SU	SET UP/PREPARATION
S0014	SHEARING
S0016	SHOT BLASTING
S0018	SCRAP/SCRAP ALLOWANCE
S0022	SLEAVING
S0052	STAMPING
S0056	STRAPPING
S0058	STATE FUEL TAX
S0070	SUPERFUND TAX
S0080	BRAIDING
S0093	SURCHARGE (%)
S9001	SRVC ACCORD TO CONTRACT
S9002	STRESS RELIEVE
S9003	STORAGE
TAR	TARPING
TM	ILL. UNGRND STORAGE TANK
TO	TOOLING
T0080	TRADE IN ALLOWANCE
T9001	TRAVEL/MILAGE

W9001 WASTE TIRE TAX
WH WAREHOUSE/STORAGE
X9001 XRAY

APPENDIX - ANSI Transportation Mode Code

91 MODE CODE

The following ANSI codes are used to describe the primary method of shipment a supplier should use to ship material from his location to

Caterpillar. These codes come from the ANSI Element Dictionary.

CODE	METHOD OF SHIPMENT
----	-----
A	AIR
B	BARGE
C	CONSOLIDATING SERVICES REQUESTED
D	PARCEL POST
E	EXPEDITED TRUCK
G	PIGGYBACK
H	CATERPILLAR'S TRUCK
J	CAB, BUS, OTHER MOTOR
L	CONTRACT CARRIER
M	TRUCK
O	OCEAN CONTAINER
P	SUPPLIER'S TRUCK, PRIVATE CARRIER
R	RAIL
T	SUPPLIER ROUTING OR BEST WAY
U	UNITED PARCEL SERVICE
LT	LESS THAN TRUCKLOAD (LTL)
SR	SUPPLIER TRUCK
SS	SHIP

APPENDIX - ASC X12 Allowance or Charge Code

The following is a list of codes used to identify the ASC X12 Allowance or Charge Codes.

STANDARD CODE	STANDARD CODE DESCRIPTION
APT	APPOINTMENT NOTIFICATION
ARB	ARBITRARY NON-SPECIFIED
BND	IN TRANSIT BOND
CHN	CHAINING TRAP FEE
CNS	ROUND ABOUT PLAN SUPPORTING DOCUMENTATION
CUS	CUSTOMS CHARGE
DEL	DELIVERY CHARGES
DOC	DOCUMENTATION PREP
DSC	DISCOUNT
ENS	ENERGY SURCHARGE FUEL ADJ FAC
EXM	EXCESS MILEAGE CHARGE
EXP	TEAM DRIVER
FCD	FREIGHT CHARGES
FFC	FREIGHT CHARGE
FUE	FUEL CHARGE
HAZ	HARZARDIUS CARGO CHARGE
HHB	SPECIAL HANDLING DESTINATION
LFT	LIFT GATE FORKLIFT SERVICES
MIN	MIN TRANSP CHARGE BALANCE
MMC	MINIMUM MAXIMUM CHARGE
MSC	MISCELLANEOUS CHARGE
PER	PERMIT CHARGE
PUC	PICKUP CHARGES
SOC	STOP OFF CHARGE
SPC	SPECIAL PERMITS
SUR	SURCHARGE
WRE	EMPTY MILES
ZZZ	COMMERCIAL INVOICE
025	DESTINATION ADVANCE CHARGE
030	ADVANCE DESTINATION CHARGE
035	ORIGIN ADVANCE CHARGE
040	ADVANCE ORIGIN FEE
060	AGENT DISBURSEMENT ORIGIN
075	AIR EXPRESS CHARGE
080	AIR TRANSPORTATION
155	CANADIAN IMPORT TERMINATE
160	CANADA RECONSIGN FEE
165	CANADIAN REMANIFEST FEE
190	CITY TERMINAL CHARGE
205	COLLECT SURCHARGE
240	CUSTOMS BROKER CHRG
245	CUSTOMS INVOICE
260	DELIVER SURCHARGE
315	DUTY CHARGE
405	FUEL SURCHARGE
420	HAZARDOUS MATERIAL HDLG FEE (DOMESTIC)
425	HAZARDOUS MATERIAL HDLG FEE (INTERNATIONAL)
430	IATA AIRBILL PREP FEE

440	IMPORT SERVICE FEE
445	INSURANCE FEE
520	OVERSIZE FEE
535	PICKUP OUT OF AREA
540	PICKUP SURCHARGE
550	AIR WAYBILL FEE
665	SATURDAY DELIVERY POINTS
685	SPECIAL DELIVERY
690	SPECIAL HANDLING
695	SPECIAL PICKUP
730	TERMINAL SERVICE FEE
745	VALUATION FEES
750	VALUE ADD TAX (VAT)
999	EXCESS MILEAGE CHARGE

APPENDIX - ASC X12 Equipment Description Code

40 EQUIPMENT DESCRIPTION CODE

THE FOLLOWING IS A LIST OF ASC X12 EQUIPMENT DESCRIPTION CODES.

CODE	DESCRIPTION
20	20 FOOT IL CONTAINER (OPEN TOP)
2B	20 FOOT IL CONTAINER (CLOSED TOP)
40	40 FOOT IL CONTAINER (OPEN TOP)
4B	40 FOOT IL CONTAINER (CLOSED TOP)
CI	CONTAINER, INSULATED
FR	FLAT BED TRAILER - REMOVABLE SIDES
FT	FLAT BED TRAILER
PU	PICKUP TRUCK
TV	TRUCK, VAN

APPENDIX - ASC X12 Packaging Form Codes

210 PACKAGING FORM CODES

This code specifies the packaging form code of the quantities specified.

CODE	DESCRIPTION
BBL	BARREL
BOX	BOX
CAS	CASE
CNT	CONTAINER
DRM	DRUM
PCS	PIECES
PKG	PACKAGE
PLT	PALLET
UNT	UNIT

APPENDIX - Carrier (SCAC) Codes

The following is a partial list of Standard Carrier Alphabetic Codes (SCAC) which apply to carriers. Follow your shipping instructions to determine the proper carrier. Contact Caterpillar for codes of required carriers not appearing on this list.

SCAC CODE -----	CARRIER NAME -----
9800	SUPPLIER TRUCK
ADTC	ADVANCED TRANSPORT
AFSI	AMERICAN FREIGHT
ANRF	ANR FREIGHT SYSTEM
APVC	AIR-LAND
AUFF	AURORA FAST FREIGHT
BNAF	BURL. NORTH. AIR
CUST	CATERPILLAR TRUCK
CETR	CENTRAL TRANSPORT
CEXE	CHIEF EXPRESS
CTKL	CHIEF TRUCK LINE
CHTL	CHURCHILL TRUCK LINE
CFWY	CONSOL. FREIGHTWAYS
CFMF	CONSOL. MOTOR FRGHT
CEX	CONWAY EASTREN EXP
CXTQ	COX TRANSFER
DABQ	DNB TRUCKING
DUFF	DUFF TRUCK
EGE	ELGIN RR
EAFC	EMERY AIR
FDE-	FEDERAL EXPRESS CORP
FTAL	FLYING TIGERS
FRAI	FRATE SERVICE INC
HAWM	H & W MOTOR EXPRESS
HYMF	HYMANN FREIGHT
ICG	ILL. CENTRAL GULF RR
IPP	IPP-IND. PROC + PACK
JAFS	JET DELIVERY SERVICE
CHKW	KINGSWAY TRANSPORTS
LMSI	LANE MOTOR SERVICE
LN	LOUISVILLE NASHV. RR
LOWN	LOWE TRUCK
MATL	MID AMERICAN LINES INC
MFIC	MIDDLEWEST FREIGHTWAY
MNTK	MUNSON
MXSN	MIDSTATES EXPRESS
NUST	NUSSBAUM
PRES	PRESTON TRUCKING INC
RDWY	ROADWAY
RYPI	RYDER PIE
ATSF	SANTA FE RR
STCS	SMITH TRANSFER
SJTC	ST JOHNSBURY
SDAT	STAR DELIVERY

STMF	STAR TRANSPORT
SLTA	STEEL TRANSPORT
SUEP	SUBURBAN EXPRESS CO
SUBN	SUBURBAN EXPRESS INC
SUBM	SUBURBAN MOTOR FRGHT
TRSM	TRISTATE MOTOR TRANS
UPS	UPS
YFSY	YELLOW FREIGHT

APPENDIX - Caterpillar Facility Codes

Facility Code	Facility Name
006	NAVISTAR INDIANAPOLIS
03	Hose Plant/Chemical Products
06	Mapleton
083	NAVISTAR MELROSE PARK
11	Subsidiary Material Supply (SMS)
12	Aurora
12	Cent Purch Aurora test 863-QNT
12	Cent Purch Aurora 863-QN
13	Decatur
14	Joliet
16	Cent Purch E. Peoria 863-MD
16	Cent Purch E. Peoria Test 863-MDT
16	East Peoria
19	Invoices/Accounts Payable
24	Cat Africa – Johannesburg, S. Africa
25	Gosselies
28	Piracicaba
29	Cent Purch Pontiac 863-QN
29	Cent Purch Pontiac Test 863-QNT
29 & PJ	Pontiac
34	Leicester (Daily)
34	Leicester (Month)
35	Grimbergen
37	Grenoble
39	Cent Purch
39	Cent Purch Indirect 863-QN
39	Cent Purch Indirect Test 863-QNT
40	Cent Purch Moss. Test 863-MQT
40	Cent Purch Mossville 863-MQ
40	Mossville
41	Tech Center
47	Morton
66	C-M Sagami
68	Corinth
74	New Orleans - MCFA
76	Dallas
7N	Greenville AY
80	Export Pack
82	Santo Amaro
84	Singapore
88	Central Purchasing - 863
88	Central Purchasing - 863T
88	Lafayette
89	Cat Mexico Monterey
90	Test Mailbox for Incoming to Cat

92	Inbound Logistics
94	Test Invoice
95	Test Mailbox for Outbound from Cat
97	Caterpillar European Hose
AJ	British Hose (Leicester)
ANT	Morton Antares Parts
ANTT	Morton Antares Parts Test
B9	Pontiac (from Customers)
BI	Nexus
BP	Balderson
C4	LEIC (Month)
CH	Mining Vehicle Center
CO	Poland
CZ	TBU
D0	Mossville AY
DA	Cat Export Services
DK	F. G. Wilson
DQ	Clayton
DR	Cat AG Products
DRT	Cat AG Products Testing
EF	New Ulm, MN (Cat Paving)
EN	Leland
EO	Rockwood
FB	Gremada Industries
FG	Hungary
GN	Greenville
GP	Cat Engine Systems Mexico SRL - Nuevo Laredo, Mexico
GT	Tosno
HK	Jefferson
HL	Prentiss
HP	Dyersburg, TN
IK	Franklin, NC
J5	Waco
J6	Leicester J^ Manufacturing Logistics Transport
JA	Sanford
JI	Morganton, NC
JK	Skinningrove, GB
JL	Sumter, NC
JS	Danville, KY
JX	Plymouth, MN (Cat Paving)
KK	Cat Forest Products, Sweden
KW	Claas (Germany)
KY	Caterpillar Transmission - France
LE	Griffin, GA
L5	C.I.P.I.
M4	Cat Defense & Federal Products
MC	Caterpillar Mexico - CMSA Torreon
MG	MT Clemens
MS	Export Pkg - Europe

N4	Engine Division
N5	P.T. Natra Raya
OT	Caterpillar - Benefits
PIS	Caterpillar - Product Information
R8	Cat Paving Products
R9	Cat Materials Routiers - Europe
RB	Caterpillar Belgium SA - Gosselies, Belgium (indirect material only)
SD	Caterpillar France S.A. - Grenoble, France (indirect material only)
T3	Solar
TIS	Caterpillar TIS System
TRS	ORDER CHANNEL DIVISION
TX	Mail/EDI Text
U1	Export Packaging
U2	Cat Peterlee
U9	Indirect Purchasing
WA	Caterpillar - Warranty Claims
WI	European Inbound Log Center-Indirect
WX	AGCO Corporation
XU	Toccoa, Georgia
YB	Caterpillar Special Services Belgium SPRL - Gosselies, Belgium (I/S equipment & contract)
YQ	European Inbound Log Center-Direct
ZA	Caterpillar Commercial Services SARL - Grenoble, France (I/S equipment & contract)

FUTURE EFFECTIVE

005070479LG	LG	Largrange, CA	CALGEV11
005070479LV	LV	Cat Work Tools (Netherlands)	CALVEV11

APPENDIX - Non-U.S. Currency Codes

The following is a list of codes used to identify the Non-US currency codes used in Corporate Accounts Payable Systems to process invoices.

CODE	CURRENCY CODE DESCRIPTION
----	-----
ATS	AUSTRIAN SHILLING (AUSTRIA)
AUD	AUSTRALIAN DOLLARS (AUSTRALIA)
BEF	BELGIUM FRANC (BELGIUM)
BRC	BRAZILIAN CRUZADO (BRAZIL)
CAD	CANADIAN DOLLAR (CANADA)
CHF	SWISS FRANC (SWITZERLAND)
DEM	DEUTSCHE MARK (GERMANY)
DKK	DANISH KRONA (DENMARK)
ESP	SPANISH PESETA (SPAIN)
FIM	FINNISH MARK (FINLAND)
FRF	FRENCH FRANC (FRANCE)
GBP	BRITISH POUND STERLING (UNITED KINGDOM)
GRD	GREEK DRACHMA (GREECE)
HKD	HONG KONG DOLLAR (HONG KONG)
IDR	INDONESIAN RUPIAH (INDONESIA)
IEP	IRISH PUNT (IRELAND)
ITL	ITALIAN LIRA (ITALY)
JPY	JAPANESE YEN (JAPAN)
MXP	MEXICAN NUEVO PESO (MEXICO)
MYR	MALAYSIAN RINGGET (MALAYSIA)
NLG	NETHERLANDS GUILDER (NETHERLANDS)
NOK	NORWEGIAN KRONA (NORWAY)
PHP	PHILIPPINE PESO (PHILIPPINES)
PTE	PORTUGUESE ESCUDO (PORTUGAL)
SAR	SAUDI RIYAL (SAUDI ARABIA)
SEK	SWEDISH KRONA (SWEDEN)
SGD	SIGNAPORE DOLLAR (SIGNAPORE)
THB	THAILAND BAHT (THAILAND)
TWD	NEW THAILAND DOLLAR (THAILAND)
USD	US DOLLAR (UNITED STATES)
XAF	CENTRAL AFRICAN FRANC (CENTRAL AFRICA REPUBLIC)
ZAR	SOUTH AFRICAN RAND (SOUTH AFRICA)

APPENDIX - Price Unit of Measure Codes

639 BASIS OF UNIT PRICE CODE

THIS CODE SPECIFIES THE BASIS FOR THE PRICE SPECIFIED.
THE FOLLOWING CODES ARE IN ADDITION TO THE UNIT OF MEASURE
CODES ELEMENT 355 SPECIFIED IN APPENDIX.

ABBR.	DESCRIPTION
----	-----
AP	ADVISE PRICE
BR	BROKER
CA	CATALOG
CT	CONTRACT
DI	DISTRIBUTOR
DR	DEALER
DS	DISCOUNT
HF	PER 100 FEET
HP	PER 100 PIECES
LC	CATALOG PRICE PER HUNDRED
LD	CATALOG PRICE PER DOZEN
LE	CATALOG PRICE
LN	CATALOG PRICE PER THOUSAND
NC	NO CHARGE
PE	PRICE PER EACH
PR	PROMOTION
QE	QUOTED PRICE PER EACH
QH	QUOTED PRICE PER HUNDRED
QS	QUOTED PRICE PER THOUSAND
RC	RETAIL PRICE PER HUNDRED
RD	RETAIL PRICE PER DOZEN
RE	RETAIL PRICE PER EACH
RM	RETAIL PRICE PER THOUSAND
RT	RETAIL
SB	SEE BELOW (PENDING CODE)
SR	SUGGESTED RETAIL
TC	CONTRACT PRICE PER HUNDRED
TD	CONTRACT PRICE PER DOZEN
TE	CONTRACT PRICE PER EACH
TF	PER 1000 FEET
TH	CONTRACT PRICE PER THOUSAND
TP	PER 1000 PIECES
WC	WHOLESALE PRICE PER HUNDRED
WD	WHOLESALE PRICE PER DOZEN
WE	WHOLESALE PRICE PER EACH
WH	WHOLESALE

WM WHOLESALE PRICE PER THOUSAND

APPENDIX - Unit of Measure Codes

CODES -----	DESCRIPTION -----
AY	ASSEMBLY
BA	BALE
BD	BUNDLE
BF	BOARD FOOT
BG	BAG
BI	BAR
BO	BOTTLE
BR	BARREL
BS	BASKET
BX	BOX
C5	COST (JOB STUDY)
CA	CASE
CB	CARBOY
CC	CUBIC CENTIMETER
CD	CARAT
CF	CUBIC FEET
CG	CARD
CH	CONTAINER
CL	CYLINDER
CM	CENTIMETER
CN	CAN
CO	COIL
CP	CRATE
CR	CUBIC METER
CT	CARTON
CV	COVER
CW	HUNDRED POUNDS
CY	CUBIC YARD
CX	COIL
DA	DAY
DK	KILOMETER
DL	DECILETER
DH	MILES
DM	DECIMETER
DR	DRUM
DZ	DOZEN
EA	EACH
FT	FOOT
GA	GALLON
GR	GRAM

GS	GROSS
HE	HUNDREDTH OF A CARAT
HF	HUNDRED FEET
HG	HECTOGRAM
HH	HUNDRED CUBIC FEET
HP	HUNDRED POUNDS
HR	HOUR
HU	HUNDRED
HV	HUNDRED WEIGHT (SHORT)
HW	HUNDRED WEIGHT (LONG)
IN	INCH
JR	JAR
KG	KILOGRAM
KN	KILOMETER
KT	KIT
LB	POUND
LF	FOOT
LK	LINK
LN	LENGTH
LO	LOT
LT	LITER
LY	LINEAR YARD
ME	MILLIGRAM
MJ	MINUTES
ML	MILLILITER
MM	MILLIMETER
MP	METRIC TON (TONNE)
MO	MONTH
MR	METER
MS	SQUARE MILLIMETER
MT	METRIC TON
MV	JOB
NB	BARGE
NT	TON (SHORT TON - 2000 LBS)
OL	LIQUID OUNCE
OZ	OUNCE - AV
PA	PAIL
PC	PIECE
PD	EACH
PF	PALLET / UNIT LOAD
PK	PACKAGE
PL	PALLET / UNIT LOAD
PP	PLATE
PR	PAIR
PT	PINT
QT	QUART
RA	RACK

RE	REEL
RL	ROLL
RO	ROUND
SC	SQUARE CENTIMETER
SE	SECTION
SF	SQUARE FOOT
SH	SHEET
SI	SQUARE INCH
SM	SQUARE METER
SO	SPOOL
ST	SET
SY	SQUARE YARD
TB	TUBE
TC	TRUCKLOAD
TG	GROSS TON
TH	THOUSAND
TK	TANK
TL	THOUSAND FEET (LINEAR)
TM	THOUSAND BOARD FEET
TN	NET TON
TO	TROY OUNCE
TS	THOUSAND SQUARE FEET
TW	THOUSAND SHEETS
TY	TRAY
UN	UNIT
UT	EACH
VI	VIAL
WK	WEEK
YD	YARD
YR	YEAR

APPENDIX - ANSI Container Description Codes

PACKAGING CODE - ASC X12 element #103

The following ANSI codes should be used to describe types of containers used to ship material. These codes come from the ANSI Element Dictionary.

CODE	DESCRIPTION
----	-----
BAG71	BAG
BAL71	BALE
BRG71	BARGE
BBL71	BARREL
BSK71	BASKET
RCK89	BOOM PLATE RACK
BOX71	BOX
BOX89	BOXES ON A PALLET
BDL71	BUNDLE
CAN71	CAN
CBY71	CARBOY
CTN71	CARTON
CAS71	CASE
COL71	COIL
CNT71	CONTAINER
CRT71	CRATE
CYL71	CYLINDER
DBK71	DRY BULK (TRUCKLOAD)
DSK52	DOUBLE LENGTH, STEEL SKID
DRK52	DOUBLE LENGTH STEEL TIERING RACK
DTB52	DOUBLE LENGTH, STEEL TOTE BOX
DRM71	DRUM
HRK52	HALF STD STEEL TIERING RACK
HTB52	HALF STANDARD STEEL TOTE BOX
HTB89	HALF STANDARD
HTB51	HALF STANDARD TRIAX TOTE BOX
JAR71	JAR
RCK53	JUMBO STEEL TIERING RACK
TUB53	JUMBO STEEL TOTE BOX
KIT71	KIT
KPT71	KNOCK-DOWN CAB PALLET
KTB71	KNOCK-DOWN TOTE BIN
KRK71	KNOCK-DOWN STEEL TIERING RACK
LIF71	LIFT
LSE71	LOOSE RUBBER
LUG71	LUG
PCS52	PIECES STEEL
PKG71	PACKAGE
PLT71	PALLET
PLT94	PALLET-BOX (CORRUGATED, BOUND OR WOOD)
PLT89	PALLET-PACK
PLT79	PALLET-PLASTIC
PLT64	PALLET-SHRINK FILM
TRY89	PALLET-TRAY PACK

UNP71	PALLET WITH LOOSE PARTS
PAL71	PAIL
PCS71	PIECE
RCK71	RACK
REL71	REEL
ROL71	ROLL
SKD52	STANDARD STEEL SKID PALLET
SKD71	SKID
RCK52	STANDARD STEEL TIERING RACK
TUB52	STANDARD TRIAX TOTE BOX
TUB51	STANDARD WIRE MESH TOTE BOX
PRK52	STEEL PIPE RACK
TNK71	TANK
TRY71	TRAY
TUB71	TUB
TBE71	TUBE
TUB53	JUMBO STEEL TOTE BOX